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1/24  
MPB  
#17  
10-22-02  
ATTY DOCKET NO. AWI90101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: :  
: :  
HAMBLEY, DAVID M. and :  
HAMBLEY, PHILIP :  
: :  
Serial No.: 09/303,306 :  
: :  
Filed: April 30, 1999 :  
: :  
For: FILTER UNDERDRAIN SYSTEM :  
FOR BACKWASH FLOW AND :  
METHOD FOR MEASURING SAME :

RECEIVED  
OCT 17 2002  
TC 1700 MAIL ROOM

SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. 1.53

ATTENTION: BOX MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice To File Missing Parts Of  
Application dated July 29, 2002, we enclose the following items  
for filing on the above-referenced United States patent  
application:

1. Notice to File Missing Parts form PTO-2021;
2. Check in the amount of U.S.\$858.00 covering the  
filing fee and surcharge as a small entity  
additional claim fees and surcharge of US\$65.00;  
and
3. Acknowledgement postcard.

Please charge any additional fees or credit any overpayment to deposit account no. 21-0885. A duplicate copy of this letter is enclosed.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed on file for examination.

Respectfully submitted,

HAMBLEY, DAVID AND  
HAMBLEY, PHILIP

By: \_\_\_\_\_

John R. Uren  
Regn. No. 27,530

Date: September 26, 2002  
John Russell Uren, P. Eng.  
Suite 202 - 1590 Bellevue Avenue  
West Vancouver, British Columbia  
Canada, V7V 1A7  
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20231 ON Sept 27, 2002

JOHN R. UREN  
REG. No. 27,530



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/303,306	04/30/1999	DAVID M. HAMBLEY	AWI9010	8160

7590

07/29/2002

JOHN RUSSELL UREN  
SUITE 202 1590 BELLEVUE AVENUE  
WEST VANCOUVER, V7V1A7  
CANADA

EXAMINER

CINTINS, IVARS C

ART UNIT

PAPER NUMBER

1724

DATE MAILED: 07/29/2002

/6

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKETED  
Sept-29/02

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20231 ON Sept 27, 2002

JOHN R. UREN  
REG. No. 27,530



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Washington, D.C. 20231

APPLICATION NUMBER	FILING /RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILING MISSING PARTS OF APPLICATION (CPA)**  
**Filing Date Granted**

The Continued Prosecution Application (CPA) request filed on 7/16/02 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

**Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA.** Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.**

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

(a) Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ \_\_\_\_\_ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is **not** required (37 CFR 1.28)).

(b) Applicant must submit \$ 370.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☒ 2. Additional claim fees of  
\$ \_\_\_\_\_ (non-small entity) or \$ 252.00 (small entity) for 6 independent claims over 3.  
\$ \_\_\_\_\_ (non-small entity) or \$ 171.00 (small entity) for 19 claims over 20.  
\$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21 (m)).  
☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.  
☐ 5. Other: \_\_\_\_\_

**A copy of this Notice MUST be returned with the reply.**

Direct the reply and any questions about this Notice to:

Leresa Woodhuff  
Examining Group 1700

(703) 30-8-2824

10/07/2002 KZENIE 00000003 09303306  
01 FC:231 370.00 OP  
02 FC:202 252.00 OP  
03 FC:203 171.00 OP  
04 FC:205 65.00 OP